



CF-78

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## PATENT APPLICATION

Applicants : Howard W. Lutnick et al.  
Application No.: 10/699,859 Confirmation No.: 5126  
Filed : October 31, 2003  
For : ELECTRONIC SYSTEMS AND METHODS FOR  
PROVIDING A TRADING INTERFACE WITH  
ADVANCED FEATURES  
Group Art Unit : 3623

New York, New York  
February 23, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information  
Disclosure Statement in the above-identified application. This  
Statement is submitted:

- [ ] within three months of the application filing date;
- [X] more than three months from the application  
filing date but before the mailing date of the  
first Office Action on the merits.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /H.A./

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

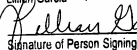
Respectfully submitted,



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I hereby certify that this  
Correspondence is being  
deposited with the U.S.  
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Addressed to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450 on

February 23, 2004  
Lillian Garcia



Signature of Person Signing



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Alexandria, V.A. 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98,  
applicants wish to call the attention of the Examiner to the  
following document:

U.S. PATENT

Suzuki et al. 4,141,001 (02/20/79)

The aforementioned document is listed on the  
accompanying Form PTO-1449 (submitted in duplicate), and a copy  
of the document is enclosed herewith.

It is respectfully requested that this document be  
(1) fully considered by the Patent and Trademark Office during

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /H.A./

examination of this application; and (2) printed on any patent which may issue on this application. Applicants respectfully request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Respectfully submitted,



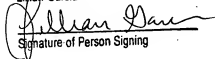

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February 23, 2004

Lillian Garcia



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10699859 - GAU:

Sheet 1 of 1

FORM PT-449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANTATTY. DOCKET NO.  
CF-78APPLICATION  
NO.  
10/699,859APPLICANTS  
Howard W. Lutnick et al.CONFIRMATION  
NO.  
5126FILING DATE  
October 31, 2003GROUP  
3623

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,141,001	02/20/79	Suzuki et al.	340	711	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

/Hatem Ali/

DATE CONSIDERED

09/15/2008

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /H.A./